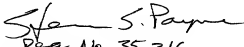


FORM PTC-1390 (REV 5-93)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NO. 2918.81274
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. 09/319460 U.S. PATENT NO. 5,732,000 (37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/GB97/03344	INTERNATIONAL FILING DATE 04 December 1997 (04.12.97)	PRIORITY DATE CLAIMED 06 December 1996 (06.12.96)
TITLE OF INVENTION BANDWIDTH ALLOCATION METHOD AND APPARATUS		
APPLICANT(S) FOR DO/EO/US MACRIDIS, Philip and HARPER, John Anthony		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11-16 below concern other document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An Assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment. <ol style="list-style-type: none"> <li><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> </ol> </li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input type="checkbox"/> Other items or information:</li> </ol>		
Published Application No. WO98/25358 International Preliminary Examination Report First Written Opinion Response to First Written Opinion Second Written Opinion Response to Second Written Opinion International Search Report Demand for Preliminary Examination		

U.S. APPLICATION NO. <b>09/319460</b> INTERNATIONAL APPLICATION NO. PCT/GB97/03344		ATTORNEY'S DOCKET NO. <b>208,81274</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS	PTO USE ONLY
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No International preliminary examination fee paid to USPTO (37 CFR 1.482), but International search fee paid to USPTO (37 CFR 1.445(a)(2)) \$700.00 Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00			
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 840.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 or 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ -0-	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	21 - 20 =		X \$ 18.00
Independent Claims	6 - 3 =		X \$ 78.00
Multiple dependent claims (if applicable)			X \$260.00
TOTAL OF ABOVE CALCULATIONS =		\$ 1,352.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed (note 37 CFR 1.9, 1.27, 1.28).		\$	
		SUBTOTAL =	\$ 1,352.00
Processing fee of \$130.00 for furnishing the English translation later than 20 or 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$ -0-	
TOTAL NATIONAL FEE =		\$ 1,352.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.		\$	
TOTAL FEES ENCLOSED =		\$ 1,352.00	
		Amount to be:	
		refunded	\$
		charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,352.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 19-0733 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO:  Banner & Witcoff, Ltd. Eleventh Floor 1001 G Street, N.W. Washington, D.C. 20001-4597 Telephone: (202) 508-9100			
<div style="text-align: right;">           Page No. 35, 316          SIGNATURE          Joseph M. Potenza          Registration No. 28,175       </div>			
June 7, 1999			

BANDWIDTH ALLOCATION METHOD AND APPARATUSField of Invention

The present invention relates to communication method and apparatus and particularly, but not exclusively, to a communication system for allocating  
5 bandwidth to a call with a mobile terminal.

Background Art

In some satellite and terrestrial mobile communication systems, terminals are available which provide both voice and data communication. For example, some GSM mobile telephones are connectable to data terminals, such  
10 as portable computers. During a data call using such mobile terminals, TDMA slots are assigned to the data call in a similar way as to a voice call, so that a constant bandwidth connection is established both in the forward and return direction. Mobile satellite systems, such as the Inmarsat-B<sup>TM</sup>, Inmarsat-C<sup>TM</sup> and Inmarsat-M<sup>TM</sup> satellite communication systems, allow fixed-bandwidth  
15 data communications.

Such systems are suitable for the exchange of some types of data, but are primarily designed for voice communications, which require a constant symmetrical data rate. Such systems are not optimised for both data and voice communication.

20 There is an increasing demand for data communications which require intermittent bursts of data to be sent or received at a high data rate, while requiring only low data rate communication at other times. For example, it is

desirable when using a Web browser for requested pages to be downloaded as quickly as possible to the user terminal, but little or no bandwidth is required in the forward direction while the user reads the downloaded page. Such usage is also very asymmetrical, since the user only needs to send requests for new pages or small amounts of data in the return direction. The use of such applications over the GSM system is unsatisfactory, since for some of the time during the data call the allocated bandwidth is not used, but while large amounts of data are being downloaded, the allocated bandwidth is insufficient.

Furthermore, it would be desirable to allow multiple calls to be handled concurrently by the same mobile terminal. For example, during a telephone call, a mobile user may wish to refer to data from an online database, or receive an incoming facsimile.

A variable data rate satellite communication system is described in US 4,256,925. In this system, a satellite is used for communication between a plurality of ground stations. Each ground station requests a proportion of the total channel capacity in accordance with the traffic load of voice and data call to that ground station. A reference station in the network allocates the channel capacity among the stations.

An ATM (asynchronous transfer mode) satellite communication system is described in US 5,363,374. Each earth station requests sporadic connection to the communication system and a central management station determines whether to accept or refuse the connection according to the available bandwidth.

The document GB 2 270 815A discloses a cellular mobile radio system which provides a packet reservation multiple access protocol for implementing a variable bit rate service. The mobile terminals contend for access in the same time slots in which they will transmit information. In order to support variable bit rates, the terminals have the ability to reserve multiple slots in any given frame. Both voice and data calls may be supported in the same frame, with a larger burst size being used for data traffic for greater efficiency, and a small burst size being used for voice traffic to reduce the delay incurred in waiting for enough information from a voice code.

The document EP 0 713 347A discloses a system for transmission of STM and ATM traffic on a broadband communications network, such as a fiber/coax network or a wireless network in which mobile stations depend on a base station for feedback. ATM calls can be constant bit rate, delay sensitive variable bit rate, delay tolerant variable bit rate or contention based. Delay sensitive variable bit rate calls are allocated time slots in accordance with a statistically weighted incremental bandwidth determination that takes into account existing variable bit rate traffic and the statistical characteristics of the new call request. ATM traffic is assigned a minimum guaranteed bandwidth and any spare bandwidth is assigned among existing ATM calls or used to admit a new ATM call.

#### STATEMENT OF INVENTION

According to one aspect of the present invention, there is provided a mobile communication system in which the bandwidth available for an individual call between a mobile communications terminal and a base station is varied according to the demand for bandwidth during that call without exceeding a predetermined maximum level determined for that call. Advantageously, the bandwidth may be increased when a large quantity of data is to be sent, but is reduced at other times and the additional capacity made available to other users.

The maximum level may be determined according to the type of call in order to support a peak bandwidth suitable for that type of call.

According to another aspect of the present invention there is provided a method of assigning time slots to individual calls in a TDMA mobile communication system, in which multiple slots within a frame which relate to a real-time call are spaced apart from each other in the frame. Advantageously, this slot allocation scheme reduces the delay incurred by the TDMA frame structure.

According to another aspect of the present invention, there is provided a method of registering a mobile terminal in a satellite communications system which has both spot beams and a global beam, in which the terminal first attempts to communicate in the last spot beam channel used, and only re-registers in the global beam to receive a new spot beam channel allocation if the last spot beam channel cannot be received.

The present invention extends to apparatus for carrying out any of the above methods.

### Brief Description of the Drawings

Embodiments of the present invention will now be described with  
5 reference to the accompanying drawings, in which:

Figure 1 is a schematic diagram of a satellite communication system according to an embodiment of the present invention;

Figure 2 is a diagram of a protocol layer structure employed by the embodiment of Figure 1;

10 Figure 3 is a diagram of structure of a TDMA frame according to the air interface protocol of the embodiment of Figure 1;

Figure 4 is a diagram of the states of the mobile terminal in the embodiment of Figure 1, showing the possible transitions between them;

Figure 5 is a flow diagram of the operation of the mobile terminal  
15 beginning from the unlocated state of Figure 4;

Figure 6 is a flow diagram of the operation of the mobile terminal beginning from the idle state of Figure 4;

Figure 7 is a flow diagram of the operation of the mobile terminal beginning from the signalling state of Figure 4; and

20 Figure 8 is a flow diagram of the operation of the mobile terminal beginning from the active state of Figure 4.

MODES OF CARRYING OUT THE INVENTIONSystem Overview

Fig. 1 shows schematically a mobile terminal connected via a satellite 12 to a network management centre 18, which allocates bandwidth to the mobile terminal and connects the mobile terminal to a terrestrial network 22. In this embodiment, the mobile terminal 2 comprises a portable computer on which a number of different communications applications 4a, 4b, 4c, 4d may be run. For example, the applications may be a voice telephony application, an internet application, a facsimile application and an ATM application. Each of these applications use standard application programming interfaces (API) such as Winsock for internet access, TAPI for telephony applications and CAPI for ISDN applications. The interfaces to such applications are shown schematically by the reference 12 in Fig. 1. Driver software 6 running on the mobile terminal 2 converts API protocols to proprietary protocols designed for the satellite communication system. The mobile terminal 2 provides a physical interface 14 to an interface card 8, such as a PC (formerly PCMCIA) card. The interface card 8 includes a radio frequency modulator/demodulator connected to an antenna 10. The radio frequency modulator/demodulator is able to receive on a first frequency channel and to transmit simultaneously on a second frequency channel.

The antenna 10 is located within the coverage region of a spot beam B generated by the satellite 12, which may for example be a geostationary satellite



having multibeam receive/transmit antennas for receiving and transmitting signals in each of a plurality of spot beams B. Each spot beam B carries a plurality of frequency channels both in the forward and return directions. The satellite also receives and transmits in a global beam G which has a coverage area extending substantially or completely over the coverage areas of the spot beams B. The global beam G carries at least one forward and one return frequency channel.

The RF signals transmitted between the antenna 10 and satellite 12 comply with an air interface protocol I3, which will be more fully described hereafter. The satellite 12 acts as a repeater and converts channels from multiple spot beams B into channels in a feeder beam F and vice versa. The feeder beam F provides a link between the satellite 12 and an earth station 16 via an earth station antenna 14. The air interface protocol over the feeder beam F is referenced as I3F in Fig. 1.

The network management centre 18 is connected to the earth station 16 and includes a number of different service adaptors 20a, 20b, 20c, 20d providing an interface to terrestrial networks 22, such as PSTN, ATM networks or ISDN. For example, the service adaptors 20 may comprise a telephony adaptor 20a including a codec for converting voice signals on a PSTN to data at the network management centre 18 and *vice versa*. A facsimile service adaptor 20b may implement facsimile protocols, such as defined in ITU Recommendations T.30 and T.4 and include a modem for communication over

a PSTN. An internet service adaptor 20c implements TCP/IP and an ATM service adaptor 20d implements ATM protocols. These standard protocols and interfaces are designated collectively by 11 in Fig. 1.

The mobile terminal 2 allows multiple different types of communication  
5 to be set up over the satellite communication system, such as telephony, internet, fax and ATM. These applications may be run concurrently. The bandwidth allocated to each application may be varied independently in the forward and return directions during a call, as will be described below.

#### Air Interface Protocols

10 The implementation of the air interface protocols I3 and I3F, as performed by the driver software 6 of mobile terminal 2 and by the network management centre 18, will now be described with reference to Figs. 2 and 3. The protocol structure is described in terms of "layers" which interwork with each other as shown in Figure 2.

15 The top layer comprises a slot management layer 28 which receives data D from and sends data D to the applications 4 or the service adaptors 20. The data is formatted in slots S, each comprising a cell, as shown in Figure 3. Each cell C comprises a header H and data D both of fixed length. The slot management layer 28 formats data into and out of slots containing such cells,  
20 and exchanges the slots with a TDMA layer 26 which controls the timing of transmission and reception of the slots S within TDMA frames FR, which are sent to or received from a physical layer 24.

The physical layer 24 corresponds to the interface I4, the interface card 8 and the antenna 10, providing a physical interface between the driver software 6 and the air interface I3, or corresponds to the earth station antenna 14 and earth station 16, which likewise provide a physical interface between the network management centre 18 and the satellite 12. In both cases, the physical layer 24 converts the frames FR to radio frequency signals RF and vice versa.

The slots S contain, in addition to the traffic data D, signalling information which is used to set up calls and to vary the assignment of bandwidth during a call. The creation and reception of these signals is performed by a session management protocol layer 30, which interworks with the slot management layer 28 and the TDMA layer 26 to receive or transmit signalling information within the cells C, in the header H and/or as data D.

As shown in Figure 3, each TDMA frame is transmitted or received in a format comprising 18 slots  $S_1, \dots, S_{18}$ , each comprising a cell C, with a guard band G separating each slot. Each slot S also contains synchronisation and control information which is used to acquire the timing of the slots, and will not be discussed further.

Each slot S may be assigned to any mobile terminal 2 with which a call has been set up, under the control of the network management centre 18.

Alternatively, more than one cell may be transmitted in each slot, with each cell being assignable to a different call to or from the same mobile terminal or even to a different mobile terminal.

### Call Management

The different states through which the mobile terminal 2 passes during operation are shown in Figure 4. In order to communicate with the mobile terminal 2, the network management centre 18 determines in which spot beam

5 B the mobile terminal 2 is located. If the mobile terminal 2 is being used for the first time, or has moved into a different spot beam B since it was last used, then the mobile terminal 2 is in an "unlocated" state. When the mobile terminal 2 has acquired a spot beam channel but is not handling any calls, it is in an "idle" state. When a first call is being set up with the mobile terminal 2, it enters a

10 "signalling" state, unless the call set up fails in which case it returns to the "idle" state. When the first call has been set up, the mobile terminal 2 enters an "active" state and remains in the active state until all calls are terminated, when the mobile terminal 2 enters the "idle" state once again. If contact is lost with the spot beam channel, the mobile terminal 2 returns to the unlocated state.

15 Each of these states will now be described in detail.

### Unlocated State

Transitions from the unlocated state will now be described with reference to Figure 5.

When the mobile terminal 2 is activated i.e. switched on or otherwise

20 enabled to communicate, the driver software 6 first tunes the interface card 8 to the frequency of the spot beam B last used for communication (step 34), if there has been any previous communication. If the interface card 8 is able to receive

in this spot beam B, the driver software 6 detects whether any of the applications 4 have requested that an outgoing call should be set up from the mobile terminal 2 (step 36). If so, the mobile terminal 2 enters the signalling state; otherwise it enters the idle state.

- 5           If the interface card 8 is unable to receive signals at the frequency of the previously used spot beam B, then the interface card 8 is tuned to the forward and return frequencies of the global beam G received by the satellite 12 (step 38). The mobile terminal 2 then transmits a "log-on" message (step 40) in the global beam return channel. The log-on message includes identification
- 10   information identifying both the mobile terminal 2 and its current user, together with location information which is sufficient for the network management centre 18 to determine in which spot beam B the mobile terminal 2 is located. This information may be entered by the user of the mobile terminal (i.e. by indicating in what country the mobile terminal 2 is located), or may be derived
- 15   by positioning equipment in the mobile terminal 2, such as a GPS (Global Positioning System) receiver. Preferably, the positioning information is sufficient to identify in which spot beam B the mobile terminal 2 is located, but does not have sufficient accuracy to allow eavesdroppers to locate the mobile terminal 2 precisely and thereby pose a security risk. If one of the applications
- 20   4 has requested that a call be set up, the log-on message may also indicate that the mobile terminal 2 intends to establish a call.

The mobile terminal 2 then awaits a response from the network management centre 18 in the forward channel of the global beam G (step 42). The response from the network management centre 18 includes identification information so that the response may be correlated with the log-on message, spot beam channel identification information which identifies the frequency channel to be used by the mobile terminal 2 for communication in the spot beam B in which it is located, and timing information derived from the timing of the log-on message as received by the earth station 16, to assist the mobile terminal 2 in synchronising with the timing of the frames FR. If the mobile terminal 2 indicated in the log-on message that a call is to be set up, the response includes a label which is used in a slot negotiation phase, as will be described later.

The interface card 8 is then tuned to the spot beam channel indicated by the response (step 44). If no calls are currently required either from or to the mobile terminal, the mobile terminal 2 enters the idle state while continuing to monitor the spot beam forward channel, otherwise it enters the signalling state (step 46).

#### Idle State

In the idle state, as shown in Figure 6, the mobile terminal 2 continuously detects whether it is able to receive frames correctly in the designated spot beam channel (step 48). If it is no longer able to do so, the driver software 6 enters the unlocated state. Otherwise, the mobile terminal 2 detects whether any of the applications 4 require an outgoing call to be set up

(step 50). If so, the mobile terminal 2 transmits a request message (step 52) in a slot S reserved for such signalling in the return spot beam channel. The allocation of such slots S is periodically indicated by the network management centre 18 in the forward direction spot beam channel. Access to such slots is determined by a slotted aloha access scheme, with the possibility of collision if two mobile terminals 2 attempt to transmit in the same slot, in which case the network management centre 18 will not receive either request message. The request message contains identification information identifying the mobile terminal 2.

In response to the request message, the network management centre 18 sends a "welcome" message, including a label which is used as a temporary identity code for the mobile terminal 2. If the mobile terminal 2 detects the welcome message (step 54) it enters the signalling state; otherwise, the request is repeated (step 52) after a predetermined period. The period is increased after each unsuccessful request (step 52) and includes a randomised component, to avoid repeated conflict for the same return channel slot with the same other mobile terminals sending request messages. After a predetermined number of unsuccessful requests, the terminal 2 returns to the idle state.

If a call originating from the terrestrial network 22 is to be connected to the mobile terminal 2, the network management centre 18 sends identifying information over the forward spot beam channel to the mobile terminal 2, to indicate that an incoming call is to be set up. If the mobile terminal 2 detects

such an incoming call (step 56) it enters the signalling state; otherwise if there are no incoming or outgoing calls, the mobile terminal 2 stays in the idle state.

### Signalling State

- In the signalling state, as shown in Figure 7, a setup protocol exchange
- 5 takes place (step 48), in which user authentication information is sent by the mobile terminal 2 to the network management centre 18 and the addresses of the call and calling parties are exchanged. A committed bit rate (CBR) and maximum bit rate (MBR) are established for each direction of the call. The committed bit rate is a bit rate which is guaranteed throughout the call. The
- 10 maximum bit rate is the maximum rate that can be assigned to the new call at any stage during the call. These variables are used by the network management centre 18 during the call to determine the number of slots allocated to the mobile terminal 2. The MBR and CBR in each direction may be determined according to the type of the call and/or according to a request by the mobile
- 15 terminal 2 during call set-up. For example, if the call is an internet connection to be used for web access by the mobile terminal 2, a low CBR and MBR are set in the return direction while a low CBR and a high MBR are set in the forward direction, the level of the MBR being set according to a request from the mobile terminal 2.
- 20 If setup fails for any reason (step 50), for example because the requested committed bit rate is not available in the spot beam B, or the network



management centre 18 is unable to connect the call through the terrestrial network, the mobile terminal 2 returns to the idle state.

After the setup exchange, the mobile terminal 2 may retune (step 52) to a different channel within the spot beam B if the channel assigned during setup is not the same as the channel being used for the call. If setup is successful, the mobile terminal 2 enters the active state.

### Active State

Once the mobile terminal 2 is in the active state, additional signalling may take place between the mobile terminal 2 and the network management centre 18 so as to terminate a call, to exchange charging information or system information, to request more or less bandwidth for a call or to establish another call. This information is preferably sent in one or more of the slots already assigned to the mobile terminal 2. Alternatively, in the forward direction the network management centre 18 may send signalling information in a cell C of a slot S which is not committed to any mobile terminal 2, and indicate in the header information of this cell that the cell contains signalling information addressed to the mobile terminal 2.

An example of a protocol exchange which may take place in the active state of the mobile terminal 2 is shown in Figure 8. The mobile terminal 2 detects whether an incoming or outgoing call has been terminated (step 58). If so, it detects (step 60) whether there are now no incoming or outgoing calls. If so, the mobile terminal 2 enters the idle state, while remaining tuned to its

current forward and return spot beam channels; otherwise, it continues in the active state.

The mobile terminal 2 also detects whether any additional calls are to be set up (step 62), either signalled by one of the applications 4 in the case of an outgoing call or indicated in a forward direction cell C from the network management centre 18 in the case of an incoming call. The mobile terminal 2 determines, by means of a signalling exchange with the network management centre 18, whether the committed bit rate required for the new call is available (step 64). If not, the driver software 6 determines whether a lower CBR than the requested CBR is suitable and available for the new call (step 66). If so, the new call is set up (step 68) by assigning additional slots to the new call within the same frequency channels, in addition to the slots which may already be assigned to other calls connected to the mobile terminal 2.

If the lower committed bit rate is not available or acceptable, the call is terminated (step 70) and the mobile terminal 2 continues in the active state, unless there are no other calls in progress in which case the idle state is entered.

If the requested capacity is not available in the frequency channel to which the mobile terminal is currently tuned, but is available in another channel, the network management centre 18 may signal to the mobile terminal 2 that the option is available of retuning to another channel and reassigning slots in that channel. If the mobile terminal 2 accepts, it retunes to the new channel and

receives a new slot assignment in that channel from the network management centre 18.

While the mobile terminal 2 is in its active state, one of the applications 4 may enter a state in which the driver software 6 detects that additional bandwidth is required (step 72). For example, the application may begin to output a large graphics or audio file. In that case, the mobile terminal 2 signals in one of the assigned slots of the return channel that additional slots are required (step 74) in the return direction. If the current bit rate assigned to that call is less than the maximum bit rate, and additional capacity is available in the frequency channel to which the mobile terminal 2 is tuned, the network management centre 18 assigns additional slots to the call in the return direction and signals to the mobile terminal which additional slots may be used in the return direction.

#### Slot Assignment

The system by which slots are assigned to the mobile terminal 2 in both the forward and return direction will now be described in detail. Once the mobile terminal 2 has left the unlocated state, it is tuned to receive one spot beam frequency channel in the forward direction and to transmit in another spot beam frequency channel in the return direction. Each mobile terminal 2 continuously receives in the forward frequency channel, but transmits only in the slots in the return channel which have been assigned to that mobile terminal, and optionally in the slots which have been designated for sending request

messages, as described above. The forward and return slots can contain both signalling and call traffic.

As shown in Figure 3, each cell comprises a header H and data D. The header comprises four bytes, formatted as shown below in Table 1.

Table 1

8	7	6	5	4	3	2	1	Bit	Octet
Label						PTI (bits 0,1)		1	
PTI (bit 2)		CLP	Return Assignment or Bandwidth Demand					2	
VCI								3	
HEC								4	

A description of each of the fields shown in Table 1 is given below.

Label

The label field contains the label of the mobile terminal which is intended to receive the cell or which transmitted the cell. As described above, the label is a temporary identity code which is assigned to each mobile terminal 2 when it is in the active or signalling state. When the mobile terminal 2 returns to the idle state, its label may be reassigned by the network management centre 18 to another mobile terminal 2. In this way, the number of bits needed to address a mobile terminal is reduced. Since each frame FR of a frequency channel contains 18 slots, only 18 different labels are need to identify each of the different mobile terminal/s tuned to that frequency channel. Six bits are

assigned to the label field, allowing additional labels to be used for other purposes. For example, a further label may indicate that the cell contains a broadcast message addressed to all the mobile terminals receiving the frequency channel, or for signalling purposes.

- 5           After a mobile terminal returns to the idle state, its label is not made available for reassignment for a predetermined period, to avoid the possibility of the same label being used for two different mobile terminals on the same frequency channel due to loss of call state synchronization between the network management centre 18 and the mobile terminal entering the idle state.

10       Return Assignment

In the forward direction, this field contains the label of the mobile terminal which is allowed to transmit in the corresponding slot of the return channel, for example the slot having the same order in the frame of the return channel.

15       Bandwidth Demand

- This field is used in the return direction to indicate the bandwidth required by the transmitting mobile terminal 2 for all its calls in the return direction. One bit of the bandwidth demand field indicates that additional slots are required in the return direction for signalling purposes, thus allowing  
20       signalling to take place without reducing the bandwidth available to currently active calls.

Virtual Channel Identifier (VCI)

This field identifies the individual call with which the cell C is associated, thus allowing a single mobile terminal to support multiple concurrent calls. The driver software 6 identifies the VCI of each cell C addressed to the mobile terminal and directs the contents of the cell to the corresponding application 4. Likewise, data from an active application is assigned a VCI when formatted into a cell by the driver software 6.

#### Payload Type Identifier (PTI)

This field is present for ATM compatibility, and is passed transparently by the satellite communication system, to allow interworking with terrestrial ATM services through the terrestrial network 22.

#### Cell Loss Priority (CLP)

This field is also present for ATM compatibility, and is passed transparently by the satellite system.

#### Header Error Control (HEC)

This field contains a check value calculated from the values from the other three bytes of the header, to allow corrupted headers to be detected.

#### Bandwidth Allocation

The network management centre 18 receives the bandwidth demand fields from each of the mobile terminals 2 transmitting in a single return frequency channel, and allocates return channel slots according to these bandwidth demands, and the committed and maximum rate of each call. The

allocation of slots in the return channel is indicated by the return assignment field.

The network management centre 18 also determines which slots are addressed to each of the mobile terminals in the forward direction, according to the required capacity in a forward direction for each of the calls. Thus, the capacity allocated in the forward direction may be selected independently from the capacity allocated in the return direction, allowing asymmetric calls to be assigned only so much capacity as is needed in each direction.

The network management centre 18 buffers data received from the network 22 for transmission to the mobile terminal 2, and determines the number of slots assigned to each call in the forward direction, and therefore the current forward bit rate, so that the committed bit rate is provided but the maximum bit rate is not exceeded. The current forward bit rate may be determined according to the quantity of data buffered for that call at the network management centre, so that a burst of data from the network 22 will result in an increased forward bit rate. Optionally, if capacity on a forward channel is still available even after the maximum bit rate has been assigned to all calls, the maximum bit rate may be exceeded by assigning further slots to calls for which large amounts of data are buffered.

By appropriate selection of the committed and maximum bit rate for each call, various different types of call may be implemented. For example, a voice call may have a maximum bit rate equal to its committed bit rate, which is

the bit rate required for the voice signal. Non-real-time low bit-rate applications, such as E-mail, may be assigned a low committed bit rate, but a high maximum bit rate so as to clear buffered e-mail at the network management centre 18 when there is any unused channel capacity.

5 Billing Strategy

The network management centre 18 may compile billing information according to the committed and maximum bit rates assigned to each call. For example, the billing rate may be proportional to the committed bit rate, with a comparatively small surcharge proportional to the maximum bit rate. In this  
10 way, the total capacity of a frequency channel is shared between different mobile users according to their bandwidth requirements, with lower priority non real-time users being charged less for access to the channel.

Real-time Calls

When determining the slot allocation for individual calls, the network  
15 management centre 18 takes into account whether the call is a real-time call (such as a voice call). For such calls, the delay in transmission to and from the mobile terminal 2 should be kept to a minimum. Therefore, where a real-time call occupies multiple slots in a frame, those slots are spaced apart and distributed as evenly as possible throughout the frame so as to reduce the  
20 maximum delay encountered by any of the data in the real-time call. For example, Table 2 below shows the slot allocation where four different voice calls, V1 to V4, are established to four different mobile terminals 2, with each



call V occupying four slots S within the frame FR, while Table 3 shows, by way of comparison, an arrangement in which the slots assigned to each call V are grouped together.

Table 2

5

V <sub>1</sub>	V <sub>2</sub>	V <sub>3</sub>	V <sub>4</sub>	V <sub>1</sub>	V <sub>2</sub>	V <sub>3</sub>	V <sub>4</sub>	V <sub>1</sub>	V <sub>2</sub>	V <sub>3</sub>	V <sub>4</sub>	V <sub>1</sub>	V <sub>2</sub>	V <sub>3</sub>	V <sub>4</sub>		
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Table 3

V <sub>1</sub>	V <sub>1</sub>	V <sub>1</sub>	V <sub>1</sub>	V <sub>2</sub>	V <sub>2</sub>	V <sub>2</sub>	V <sub>2</sub>	V <sub>3</sub>	V <sub>3</sub>	V <sub>3</sub>	V <sub>3</sub>	V <sub>4</sub>	V <sub>4</sub>	V <sub>4</sub>	V <sub>4</sub>		
----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	----------------	--	--

10 In the case shown in Table 3, the data for each call may be buffered for up to one complete frame period. In contrast, the method in accordance with this embodiment, as shown in Table 2, requires data to be buffered for only a small fraction of the frame period, thereby reducing both delay and the size of the buffer required. Real-time calls are therefore given priority when allocating slots, so as to

15 achieve regular spacing of the relevant slots through the frame. Non real-time variable bandwidth calls are then allocated to the remaining slots available so as to assign the committed bit rate to each of these calls. Surplus capacity is then allocated according to demand by the mobile terminals, subject to the maximum bit rate for each call.

20 While the above embodiment is described with reference to a satellite communications system, aspects of the present invention may also be applied to terrestrial cellular communications systems. The mobile terminal may be portable,

may be mounted on a vehicle or form part of a temporary or permanent installation, such as a temporary office building or a wireless telephone booth.

Elements of the embodiment are described in terms of functional blocks. These blocks do not necessarily correspond to discrete units, but functions of more than one functional block may be performed by one discrete unit, or the function of one functional block may be performed by more than one discrete unit.

Although the preferred channel format is TDMA, aspects of the present invention may also be applied to CDMA communications systems.

CLAIMS

1. A method of assigning time slots within a frame of a TDMA frequency channel to a plurality of calls between a base station and one or more mobile terminals, said calls being either real-time calls or non real-time calls and comprising at least one real-time call requiring a plurality of time slots per frame; the method comprising:

determining which of said calls are real-time calls requiring allocation of a plurality of time slots per frame; and

- 10 allocating said time slots in said frame to said calls such that the plurality of time slots allocated to the or each said real-time call are mutually spaced apart in said frame.

2. A method as claimed in claim 1, wherein said TDMA frequency channel is a forward channel for communication from said base station to said one or more mobile terminals, the method further comprising transmitting call signals in said time slots in accordance with the allocation of said time slots.

3. A method as claimed in claim 1, wherein said TDMA frequency channel is a return channel for communication from said one or more mobile terminals to said base station, the method further comprising:

transmitting to said one or more mobile terminals information relating to the allocation of said time slots in the return channel, such that call signals are transmitted by said one or more mobile terminals in said allocated slots of the return channel.

5

4. A method as claimed in any preceding claim, further comprising allocating one or more time-slots to each said non-real-time call from the time slots in said frame not allocated to the or each real-time call, the number of said time slots allocated to said non-real-time call being variable during said non-real-time call according to a current bandwidth allocation determined for that non-real-time call.

10

5. Apparatus for assigning time slots within a frame of a TDMA frequency channel to a plurality of calls between a base station and one or more mobile terminals, said calls being either real-time calls or non real-time calls which have less sensitivity to delay than real-time calls and comprising at least one real-time call requiring a plurality of time slots per frame; the apparatus comprising:

15

means for determining which of said calls are real-time calls requiring allocation of a plurality of time slots per frame; and

20

means for allocating said time slots in said frame to said calls such that the plurality of time slots allocated to the or each of said real-time calls are mutually spaced apart in said frame.

AMENDED SHEET

6. Apparatus as claimed in claim 5, wherein said means for allocating are further arranged to allocate one or more time-slots to each said non-real-time call from the time slots in said frame not allocated to the or each real-time call, the number of said time slots allocated to said non-real time call being variable during said non-real-time call according to a current bandwidth allocation for that non-real time call.

7. Apparatus as claimed in claim 5 or 6, further comprising means for transmitting call signals in said time slots in accordance with the allocation of said time slots.

8. A method of communication to or from a mobile terminal at a base station connected to a communications network, comprising setting up a call between a network terminal connected to the network and the mobile terminal, including determining the maximum bandwidth allocation for that call, determining a current bandwidth allocation for the call over a wireless link between the base station and the mobile terminal, and varying the current bandwidth allocation during the call in accordance with a bandwidth demand relating to the call without exceeding said maximum bandwidth allocation.

20

9. A method as claimed in claim 8, wherein the current bandwidth allocation includes a return bandwidth allocation, further comprising receiving a demand

signal at the base station from the mobile terminal, the return bandwidth allocation being varied in accordance with said demand signal, the method further comprising transmitting from the base station to the mobile terminal allocation data indicating the return bandwidth allocation, such that the mobile terminal uses the return bandwidth allocation for transmission to the base station.

10. A method as claimed in claim 8 or 9, wherein the current bandwidth allocation includes a forward bandwidth allocation, the forward bandwidth allocation being varied during the call in accordance with a forward channel demand relating to the call, the method further comprising transmitting from the base station to the mobile terminal using the forward bandwidth allocation.

11. A method as claimed in claim 10, wherein the forward channel demand is derived from the quantity of data received from the network terminal during the call.

12. A method as claimed in claim 10 or 11, each when dependent on claim 9, wherein the forward bandwidth allocation is determined independently of the return bandwidth allocation.

13. A method as claimed in any one of claims 8 to 12, wherein the step of establishing said call includes determining a minimum bandwidth allocation for

that call, and the current bandwidth allocation is varied without falling below said minimum bandwidth allocation.

14. Apparatus for communication between a mobile terminal and a network  
5 terminal connected to a network, comprising:

call set-up means for setting up a call between the mobile terminal and the network terminal, said call set-up means being operable to determine a maximum bandwidth allocation for that call;

- means for determining a current bandwidth allocation for the call over a  
10 wireless link between the base station and the mobile terminal, and

means for varying the current bandwidth allocation during the call in accordance with a bandwidth demand relating to the call without exceeding said maximum bandwidth allocation.

- 15 15. A method of registering a communications terminal with a satellite communications system including a satellite generating a plurality of spot beams each carrying at least one spot beam transmission channel and a global beam substantially encompassing the plurality of spot beams and carrying at least one global beam reception channel and at least one global beam transmission channel,  
20 said method comprising:

receiving a call on one said spot beam transmission channel;  
ceasing reception of said call;

subsequently determining whether said one spot beam transmission channel is receivable by said terminal; and,

if said one spot beam transmission channel is not receivable, transmitting a registration message in said global beam reception channel;

5 receiving a spot beam channel allocation signal in said global beam transmission channel; and

receiving a further said spot beam transmission channel selected according to said spot beam channel allocation signal.

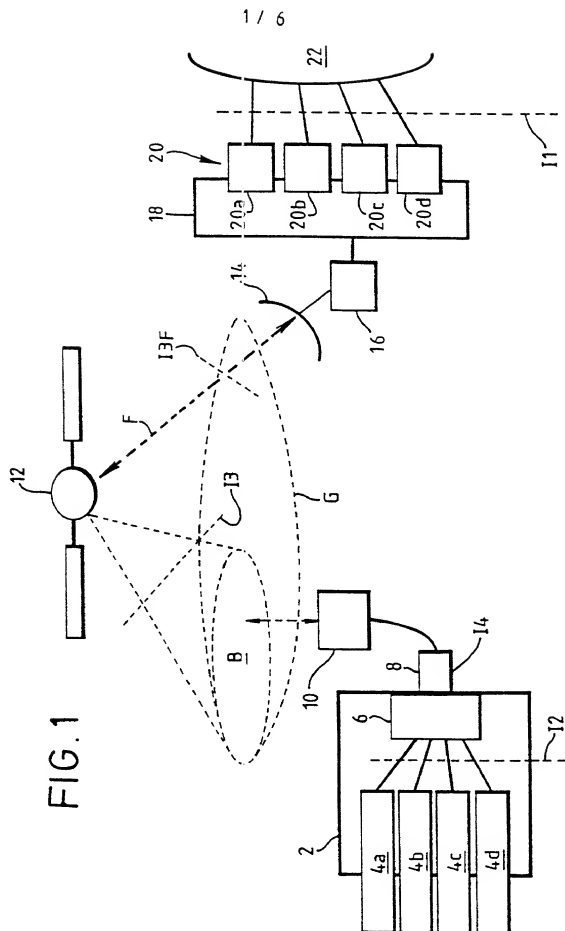
10 16. Apparatus for a satellite communications terminal for use in a satellite communications system including a satellite generating a plurality of spot beams each carrying at least one spot beam transmission channel and a global beam substantially encompassing the plurality of spot beams and carrying at least one global beam reception channel and at least one global beam transmission channel,  
15 said apparatus comprising:

a receiver for receiving a call on one of said spot beam transmission channels and subsequently ceasing reception of said call;

means for subsequently determining whether said one spot beam transmission channel is receivable by said terminal; and if said one spot beam  
20 transmission channel is not receivable, transmitting a registration message in said global beam reception channel; and



**WILSON**



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FIG. 2

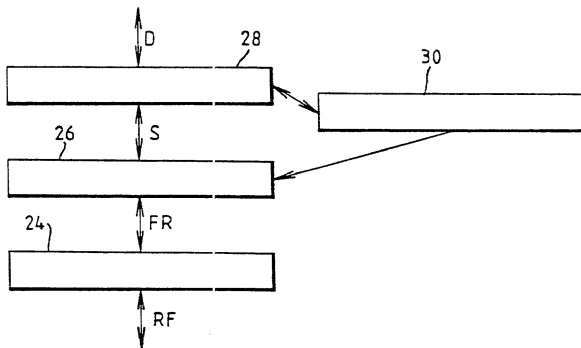
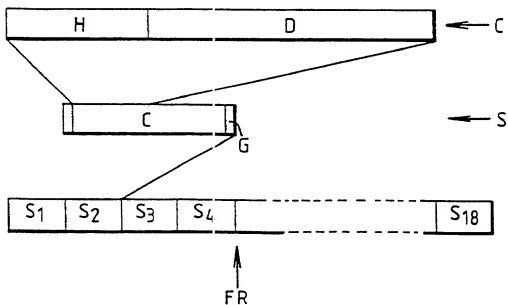


FIG. 3



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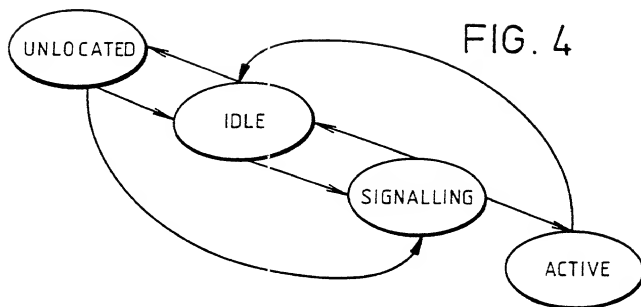
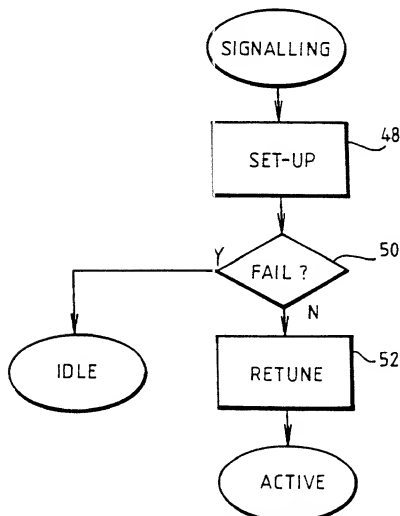
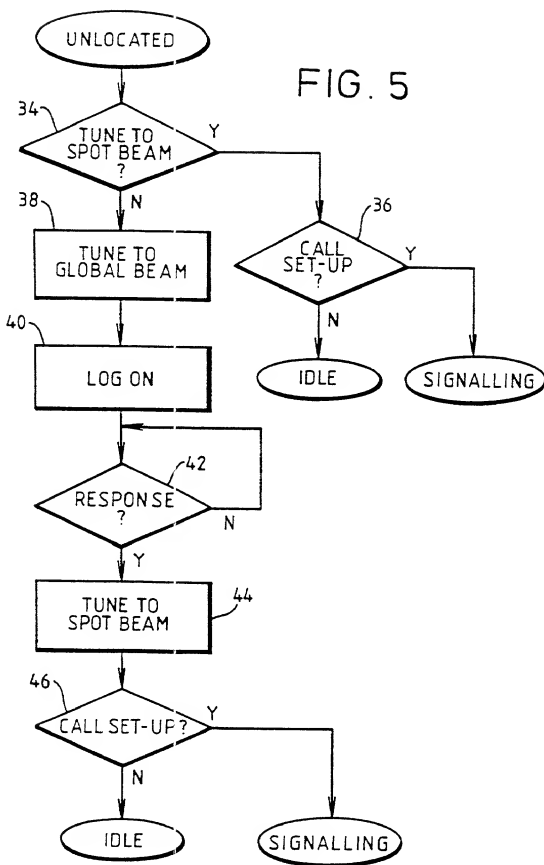


FIG. 7



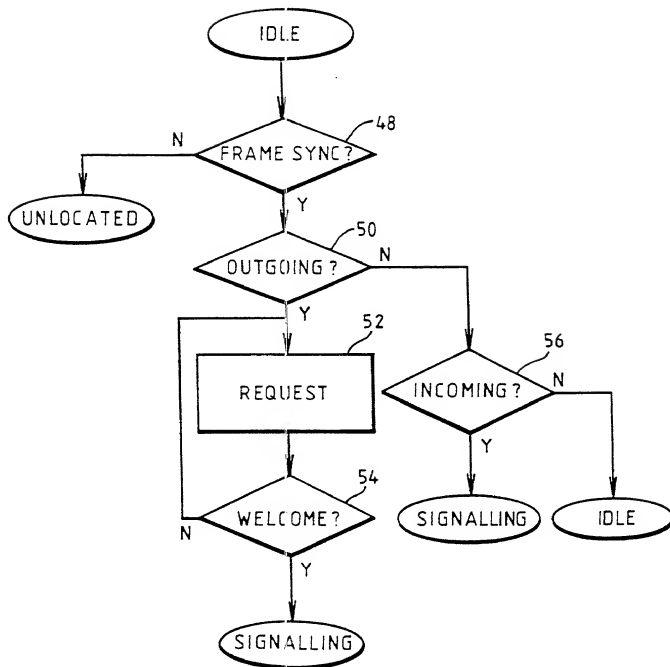
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FIG. 5



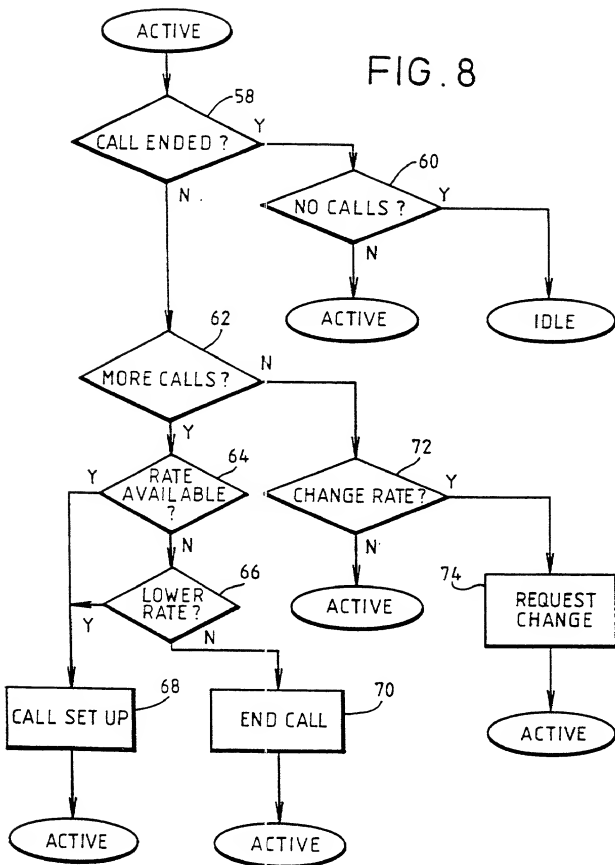
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FIG. 6



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FIG. 8



# JOINT DECLARATION FOR PATENT APPLICATION

As the below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names;

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **BANDWIDTH ALLOCATION METHOD AND APPARATUS**

the specification of which

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

☒ was described and claimed in PCT International Application No. PCT/GB97/03344 filed on 4 December 1997 and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

## Prior Foreign Application(s)

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed Under 35 U.S.C. §119
United Kingdom	9625475.0	6 December 1996		YES

## Prior United States Application(s)

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial Number	Date of Filing (Day, Month, Year)	Status — Patented, Pending, Abandoned

And we hereby appoint, both jointly and severally, as our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys who are all members of the Bar of the District of Columbia, their registration numbers being listed after their names:

Donald W. Banner, Registration No. 17,032; Harold J. Birch, Registration No. 16,527; Edward F. McKie, Jr., Registration No. 17,335; William W. Beckett, Registration No. 18,262; Dale H. Hoscheit, Registration No. 19,090; Joseph M. Potenza, Registration No. 28,175; Alan I. Cantor, Registration No. 28,163; James A. Niegowski, Registration No. 28,331; Joseph M. Skerpon, Registration No. 29,864; Thomas L. Peterson, Registration No. 30,969; Nina L. Medlock, Registration No. 29,673; William J. Fisher, Registration No. 32,133; and Thomas H. Jackson, Registration No. 29,808.



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We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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